

SNR lidar signal improvement by adaptive techniques

Aimè Lay-Ekuakille¹, Antonio V. Scarano²

Dipartimento di Ingegneria dell'Innovazione, Univ. Degli Studi di Lecce – via Arnesano, Lecce

¹aime.lay.ekuakille@unile.it

²scarano.antonio@virgilio.it

Abstract - Image filtering by Richardson-Lucy algorithm show an iterative solution for monodimensional signal deconvolution. In this paper the performance of this algorithm will be verified when LIDAR signals are pre-filtered by an adaptive low-pass filter. Most interesting results, for real-time deconvolution and filtering of lidar signal, will also be shown.

I. Introduction

A LIDAR observation may be considered an $1 \times N$ image that by Richardson-Lucy algorithm, is submitted to a deconvolution process. The measured signal $P_m(t)$ is taken as the initial guess $P^{TM}(1)$ for the iteration and negative values due to noise have to be set to zero in order to guarantee its convergence. In complex environment at lidar signal is superposed noise $N(t)$:

$$P_m(t) = P(t) + N(t) = (R(t) \times P_s(t)) + N(t) \quad (1)$$

where $P(t)$ is lidar observation after deconvolution process, strongly dependent on geometrical and optical characteristics of the sensor, and $P_s(t)$ is ideal observation when the response function of lidar sensor is a Dirac distribution, like ideal laser pulse. From (1), the additive noise term $N(t)$ makes a direct deconvolution impossible.

In general, deconvolution process with $R(t)$ shows a low-pass characteristic, and this operation intensifies the range of higher frequencies, where $N(t)$ contributions are relevant. Consequently a pre-low-pass filtering of observed data can be very useful in some cases. The aim of this paper is to propose a new filtering scheme with an Adaptive Noise Canceller (ANC), that with knowing of a-priori noise statistic and lidar profile, optimizes a set of digital filter coefficients to adapt its impulse response to improve SNR. The choice for adaptive algorithm in an N-LMS (Normalized-Least Mean Square) that updates filter weights looking up to input signal power, making a fine tuning of impulse response.

II. System description

The aim of this work is to evaluate the performance of the system like below

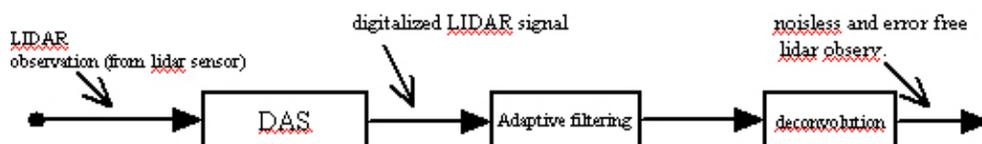


Fig. 1 System structure

where DAS is the system that performs the data acquisition that in any case may be considered “all-in one” with the lidar bulk. DAS will guarantee a “non demolition” extraction of information, performing an electrical de-coupling between sensor and processing unit. After acquisition, adaptive filtering is performed to lock the filter response on the noisy component of observation. Deconvolution process now is error free due to pre-filtering (low-pass).

In this paper was designed the filter structure: filter length (number of taps) and various filter parameters like forgetting factor and learning step (gain constant).

After, system performance will be investigated and specific results outlined.

III. The Adaptive Noise Canceller (ANC)

A system of these need a couple of input signal: lidar observation, and a noiseless reference signal input. Nevertheless, noiseless signal is the goal, so the schema in figure summarize a choice for reference input obtained from primary input signal by a delay.

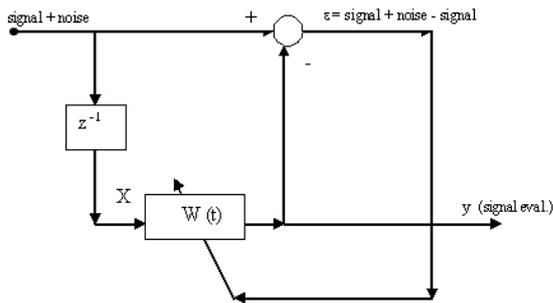


Fig. 2: Adaptive Noise Canceller (ANC)

This delay allow a decorrelation between signal and noise, so at the filter input there is only signal component over filter lock-in.

Signal that drive filter learning is $\epsilon = s+n-y$, squaring will be: $\epsilon^2 = s^2 + (n-y)^2 + 2s(n-y)$; expected value of booth member give

$$E[\epsilon^2] = E[n^2] + E[(s-y)^2] + 2E[n(s-y)] = E[n^2] + E[(s-y)^2]$$

(2)

So, the minimum error power will be

$$E_{\min}[\epsilon^2] = E[n^2] + E_{\min}[(s-y)^2] \quad (3)$$

when filter will become optimum filter for that specific lidar observation, $E[\epsilon]$ will be minimized reaching minimum value on a L-dimensional iper-quadratic surface, whit L taps for filter.

IV. N-LMS algorithm

Like Newton's method and the syeepest-descent method, for descending toward the minimum on the performance source, even this approach need to know the gradient of function to minimize. N-LMS (Normalized-Least Mean Square) algorithm is an easy way to descende the performance surface that uses a special estimate of the gradient, suitable for the ANC scheme described above. It does not require off-line gradient estimation, so it is simple to implemenmt in real- time applications.

The transversal filter presentend in fig.1 with label $W(t)$ may be represented as an Adaptive Linear Combiner

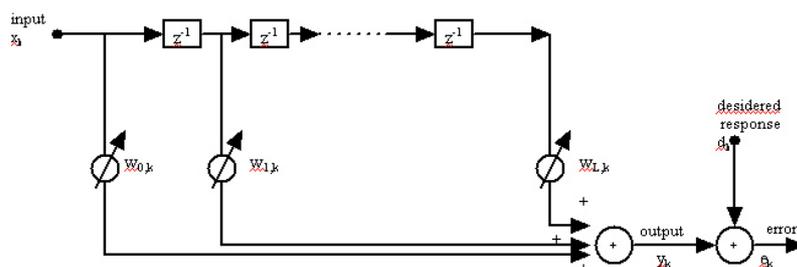


Fig. 3 The Adaptive Linear Combiner as a transversal filter

Where the combiner output, y_k , is a linear combination of the input samples, so

$$\varepsilon_k = d_k - \underline{X}_k^T \underline{W}_k \quad (3)$$

where \underline{X}_k^T is the vector of input samples.

To develop an adaptive algorithm using the previous methods, we would estimate the gradient of $\xi_k = E[\varepsilon_k^2]$ with ε_k^2 , taking itself as an estimate of ξ_k .

At each iteration in the adaptive process, the gradient estimation is performed like this

$$\nabla_k = \begin{bmatrix} \frac{\partial \varepsilon_k^2}{\partial w_0} \\ \cdot \\ \cdot \\ \frac{\partial \varepsilon_k^2}{\partial w_L} \end{bmatrix} = 2\varepsilon_k \begin{bmatrix} \frac{\partial \varepsilon_k}{\partial w_0} \\ \cdot \\ \cdot \\ \frac{\partial \varepsilon_k}{\partial w_L} \end{bmatrix} = -2\varepsilon_k \underline{X}_k \quad (4)$$

with this simple estimate of the gradient, we can now specify a steepest type of adaptive algorithm. In detail,

$$\underline{W}_{k+1} = \underline{W}_k - \frac{\mu}{\sigma^2} \nabla_k = \underline{W}_k - 2 \frac{\mu}{\sigma^2} \varepsilon_k \underline{X}_k \quad (5)$$

this is the N-LMS algorithm with μ as gain constant that regulates the speed and stability of adaption, and σ^2 is the input signal power.

A choice for μ is $\frac{1}{\lambda_{\max}} > \mu > 0$ that guarantees the convergence. If $[\underline{R}]$ is the input correlation matrix, λ_{\max} cannot be greater than the trace of $[\underline{R}]$; thus convergence of the weight-vector mean is assured by:

$$0 < \mu < \frac{1}{(L+1)\sigma^2} \quad (6)$$

with λ_{\max} the maximum eigenvalue of $[\underline{R}]$ and σ^2 is the input signal power.

V. Misadjustment in adaptive process

Misadjustment in adaptive process is defined as the ratio of the excess mean-square error to the minimum mean-square error, and is thus a measure of how closely the adaptive process tracks the true analytical solution, that is a measure of the "cost of adaptability". The excess mean square error is defined as

$$excessMSE = E[\underline{V}_k^T \underline{\Lambda} \underline{V}_k] \quad (7)$$

where \underline{V}_k is the weight vector at instant k , and $\underline{\Lambda}$ is the diagonal eigenvalue matrix of $[\underline{R}]$.

In terms of sum:

$$excessMSE = \sum_{n=0}^L \lambda_n E[v_{n,k}^2] \quad (8)$$

If the adaptive transient has died out and therefore that the squared error is near the minimum value, may be assumed the approximation.

$$excessMSE \approx \mu \xi_{\min} \sum_{n=0}^L tr[\underline{R}] \quad (9)$$

so, the form of misadjustment may be now computed

$$M = \frac{excessMSE}{\xi_{\min}} \approx \mu tr[\underline{R}] \quad (10)$$

Is clear that M is directly proportional to the adaptive gain constant, μ . Thus there is a trade-off between the misadjustment and the rate of adaption. In fact the time constant of the n-mode of learning curve is

$$(\tau_{mse})_n = \frac{1}{4\mu\lambda_n} \quad (11)$$

VI. Simulation results

Matlab[®] system simulation will be now presented.

First step was been the construction of a typical output lidar profile

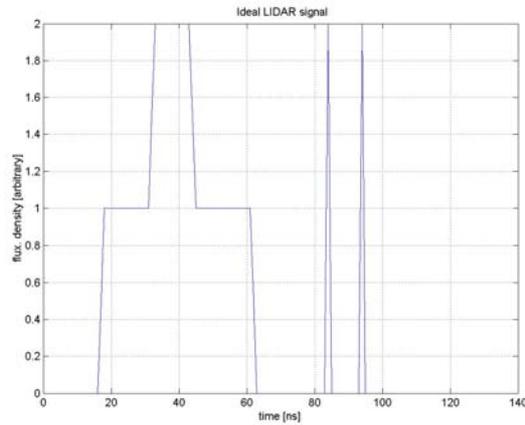


Fig. 4 Typical ideal LIDAR profile

Superposing a zero mean gaussian noise whit $\sigma^2=0.08$ will obtaine

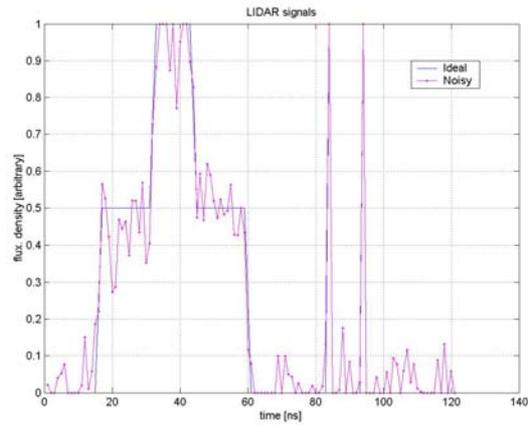


Fig. 5 Comparison: ideal and noisy lidar signal

After low-pass filtering with ANC

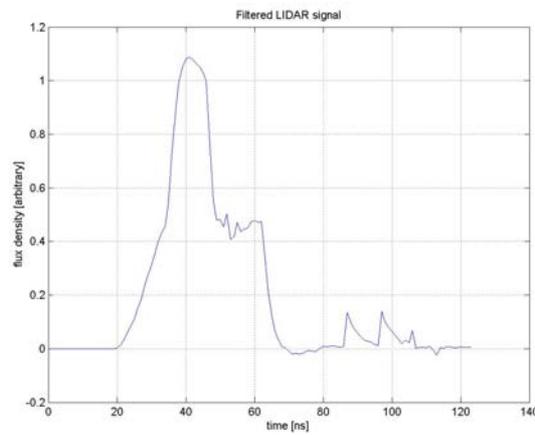


Fig. 6: LIDAR signal filtered by ANC

And after deconvolution process by Richardson-Lucy algorithm

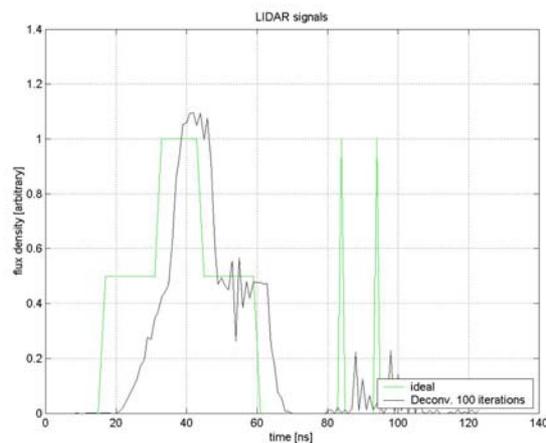


Fig. 7 Comparison between ideal and reconstructed LIDAR signals

ANC system performs a low-pass filtering on noisy lidar signal and its effects could be seen by filter frequency response that show a low-pass characteristic

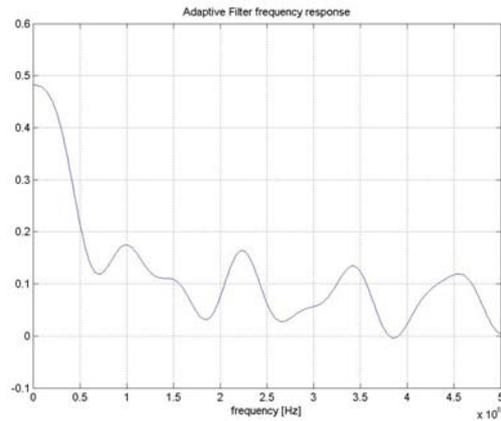


Fig. 8 Low-Pass characteristic of adaptive filter

These results was obtained by an ANC system with 16 taps and a gain factor (μ) of 0.01.

VII. Conclusion

Adaptive tecniqes will be used to filter a noisy lidar signal before convolution process by Richardson_lucy algorithm. Real time characteristics of entire process of filtering and deconvolution make it very suitable for complex environment measurements.

No more knowing about noise characteristics, i.e.: noise band, amplitude,...) make possible to determine the cut off frequency more exactly by the adaptive automatic process.

References

- [1] Stefan Harsdorf, Rainer Reuter “*Stable deconvolution of noisy lidar signals*”;
- [2] Matthias Pruksch, Frank Fleischmann “*Positive Iterative Deconvolution in comparison to Richardson-Lucy Like Algorithms*”;
- [3] Philippe Neveux, E. Sekko, Gérard Thomas “*A Constrained Iterative Deconvolution Technique with an Optimal Filtering: Application to a Hydrocarbon Concentration Sensor*” IEEE Trans. on Instrumentation and Measurement, Vol.49 , n° 4, Aug. 2000
- [4] Bernard Widrow, Samuel D. Stearns “*Adaprive Signal Processing*”, Prentice-Hall Signal Processing Series